

Know Your Client (KYC)
Application Form (For Individuals Only)

B-50, Pravasi Industrial Estate, Goregaon (E), Mumbai - 400063
 Telephone: +91-22-62434343, Email: sales@prostocks.com

Please fill in ENGLISH and in BLOCK LETTERS with BLACK ink

A. Identify Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document)

	Prefix	First Name	Middle Name	Last Name
Name	_____	_____	_____	_____
Maiden Name (If any)	_____	_____	_____	_____
Father's/Spouse Name	_____	_____	_____	_____
Mother Name	_____	_____	_____	_____



2. Gender Male Female Transgender **B. Marital Status** Single Married **C. Date Of Birth** ____/____/____

3. Nationality Indian Other _____ **B. Country of Birth** Indian Other _____

4. Status Please (Resident individual Non Resident Foreign National (Passport copy mandatory for NRI's & Foreign Nationals)

5. PAN _____ Please enclose a duly attested copy of your PAN Card

Unique Identification Number (UID)/ Aadhaar, if any _____

6. Proof of identity Submitted for PAN exempt Cases Please Tick ()

UID (Aadhaar) Passport Voter ID Driving License Other _____ Please see guideline 'D' overleaf) 1

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence or Overseas Address (Mandatory) For Non-Resident Applicant.

Line 1* _____

Line 2 _____

City/ Town/Village* _____ District _____ Pin Code* _____

State* _____ Country* _____

2. Proof of Address to be provided by Applicant. Please Submit ANY ONE of the following valid Document & tick (✓) against the document attached.

Passport Registered Lease/Sale Agreement of Resident Voter Identity Card Driving License Adhaar Card
 NREGA Job Card NPR Other _____

* Not more than 3 Months old Validity/Expiry Date of address Submitted. ____/____/____

3. Contact Details

Tel (Off) (ISD)(STD) _____ Tel (Res) (ISD) (STD) _____

Mobile No. * (ISD) (STD) _____ Fax (ISD)(STD) _____

Email ID* _____

4. Permanent Address of Resident Application If different from above B1

Line 1* _____

Line 2 _____

City/ Town/Village* _____ District _____ Pin Code* _____

State* _____ Country* _____

5. Proof of Address to be provided by Applicant. Please Submit ANY ONE of the following valid Document & tick (✓) against the document attached.

Passport Registered Lease/Sale Agreement of Resident Voter Identity Card Driving License Adhaar Card
 NREGA Job Card NPR Other _____

* Not more than 3 Months old Validity/Expiry Date of address Submitted. ____/____/____

DECLARATION

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.
 I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.
 I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Place: _____ Date: ____/____/____

SIGNATURE OF APPLICANT

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FOR OFFICE USE ONLY

IPV Done on ____/____/____

ProStocks (Sunlight Broking LLP)

- (Originals Verification) Self Certified Document Copies received.
- (Attested) True Copies of documents received Main Intermediary

Verified in Person _____
 Name of the organization: _____
 Staff / AP / SB / Name: _____
 AP / SB Reg. No.: _____
 Designation: _____
 Signature _____

Seal/Stamp
 Of the intermediary

Account Details Addition / Modification / Deletion Request Form

SUNLIGHT BROKING LLP B-50 PRAVSI INDUSTRIAL ESTATE, GOREGAON (E), MUMBAI-400063
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Application No.		Date	D	D	M	M	Y	Y	Y	Y
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Please fill all the details in Block Letters in English

DP ID	1	2	0	8	3	2	0	0	Client ID								
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Account Holder's Details	
Name of First / Sole Holder	
Name of Second Holder	
Name of Third Holder	

- I/We request to carry out the change of address / signature in the demat account
- I/We request to carry out the change of address / signature in the KRA and demat account

I/We request you to make the following additions / modifications / deletions to my/our account in your records.

DETAILS (Please specify change of address, bank details, telephone number etc.)	Addition / Modification / Deletion (Please specify)	Existing Details	New Details

Attach an Annexure (with signature(s)) if the space above is found insufficient.

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			

======(Please Tear Here)=====

Acknowledgement Receipt

Received Account Details Addition / Modification / Deletions request as per details given below :

Application No.		Date	D	D	M	M	Y	Y	Y	Y
DP ID		Client ID								
Name of the Sole / First Holder										
Name of Second joint Holder										
Name of Third joint Holder										
Modification requested for: [Specify reason]										

Depository Participant Seal and Signature

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

To
Sunlight Broking LLP

	First/Sole Holder	Second Holder (if any)	Third Holder (if any)
Client Code:		NA	NA
Demat Account No.			
1 Are you U.S. person	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2 Country of tax residency (if resident of more than one country, please provide all)			
3 Country of citizenship (if citizen of more than one country, please provide all)			
4 Tax Identification Number (if tax resident of more than one country, please provide for all)			
5 Specify country of birth			
6 Specify city of birth and pin code			
7 Source of wealth	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize money <input type="checkbox"/> Royalty <input type="checkbox"/> Other(Please specify)	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize money <input type="checkbox"/> Royalty <input type="checkbox"/> Other(Please specify)	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize money <input type="checkbox"/> Royalty <input type="checkbox"/> Other(Please specify)

Declaration

I/We hereby declare, agree and confirm the following:

- a) The details furnished above are true to the best of my knowledge and belief and shall undertake to inform you within 30 days, in case of any change in the above given status in future ;
- b) If I/we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by you to the relevant tax authority, or information may be shared with concerned Asset Management Companies(AMCs) or such other product providers, to whom FATCA/CRS norms are applicable or to any of the Government Agencies/ Tax authorities/Regulators/Exchanges/Depositories of India or of any country other than India;

	First Holder Signature	Second Holder Signature	Third Holder Signature
Signature			
Name			
Date			